# **APT Workshop**

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### Agenda

- 1. General Considerations
- 2. Expectations of APT Committees
- 3. What the University committee looks for in a APT package
- 4. Discussion



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### **Setting the Context**

- Institutions of higher education exist for the common good, and not to further the interest of the individual or the institution.
- The common good depends on the free search for truth and its free exposition.
- Tenure protects faculty from the threat of loss of their positions for voicing unpopular or innovative views necessary for open deliberation and criticism essential to a free society.
- Useful resource
  - <a href="http://www.aaup.org/report/1940-statement-principles-academic-freedom-and-tenure">http://www.aaup.org/report/1940-statement-principles-academic-freedom-and-tenure</a>



#### Tenure is...

- ...earned, and is intended to protect academic freedom...
- ...granted by an academic institution to those who demonstrate a capacity for a lifetime of scholarship, teaching, and service...
- ...a commitment by the University and State of Maryland to continuously support faculty for the next few decades



### Tenure is not...

- ...just about the candidate
  - Department, College, University, USM, and State of Maryland
- ...protection against demonstrated incompetence, moral turpitude, professional or scholarly misconduct, willful neglect of duty



### **Playing by Uniform APT Rules**

- ...doesn't have to mean being uniform in all counts
- You (i.e., your department) are the expert in your area
- You should establish the criteria for excellence in that area



#### In a Nutshell

- The main expectation of the APT committees is that every unit takes the APT process seriously
- One size does not fit all
- We should all abide by rules that seek excellence



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### From the USM Policy

- "The criteria for tenure and promotion in the University of Maryland System are:
  - teaching effectiveness, including student advising;
  - research, scholarship, and, in appropriate areas, creative activities; and
  - relevant service to the community, profession, and institution."
- ALL THREE, and especially the first two, are taken very seriously



### From the UMCP policy

- "The University of Maryland is dedicated to the discovery and the transmission of knowledge and to the achievement of excellence in its academic disciplines" (l. 28).
  - The tenure process is critical to the attainment of excellence

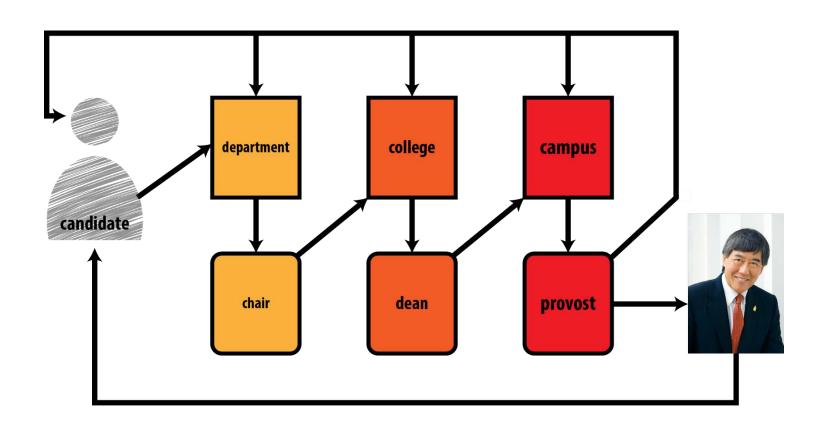


#### The APT Committee

- Seeks to understand you and the work you do in your unit
- The most important thing a dossier does is tell a story, in particular your story
- It is a narrative with several layers
  - Told at the Department, College, and Campus levels by
    - Chair, Dean, Provost
  - The goal and hope is that the conglomerate does justice to the case



### **The APT Process**





#### **Committees**

- Department
  - Emphasis on surface aspects (worrying about the essential aspects of a CV, the spelling, the neatness)
  - Often comes at the expense of genuine substance
- Higher level (College/Campus)
  - Cases in higher committees do not depend on neatness
  - Focus on professional assessment of impact and quality
    - By field
    - By department (guided by written criteria)
    - By external evaluators



#### **What Matters**

- Show the significance of your work, your program, your achievements
- Why should the State of Maryland fund you and what you do for decades?
- What is it about what you do that meets the definition of "excellence"?

This is **your** story, and telling it accurately and meaningfully often requires **taking a step back for perspective** 



### Things to think about...

- Be critical with yourself and your discipline
- Reach out to
  - Peers, get to understand what they do and to understand what you do
  - Mentor(s), seek their counsel and guidance
- Don't procrastinate. Think of your case from the day you receive your unit's criteria for tenure, and keep asking how you're doing visà-vis the criteria.



### Things to think about...

 Be proactive in the profession and keep a record of your activities and your achievements

### Measure your results

- Don't take them for granted
- Make sure you understand the measures of impact and criteria in your unit



## Things to Avoid

- In general, don't try to get your friends or collaborators to write evaluation letters
- Take seriously both positive and the negative criticism
  - Address any issues head on and rationally
- Be demanding and honest with yourself



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### **Indicators**

- Everyone knows his/her field is unique
- You need to establish your own indicators of excellence – and to apply them
- What does **your field** (peers outside the university) determine as measures of success in **your area**?
- Your best strategy is to clearly determine these indicators, and then to show how you follow and meet them...
  - ...in the context of your unit's criteria



### **Key Questions To Ask**

- What counts as peer review in your field?
  - Are these standards applied at peer institutions?
- What counts as **metrics of impact** in your field?
  - Are these taken seriously at peer institutions?
  - What will external reviewers use to assess your record?
- How does your field define regional, national or even international?
- How do you delineate the differences between research/creativity, teaching/mentoring and service?
- What is considered entrepreneurial and innovative in your field?



## The University is Changing

- A culture of cooperation and interdisciplinarity is growing
- Great societal issues (climate change, renewable energies, social justice, international cooperation) are more and more central
- The university is beginning to value innovation and entrepreneurship
- If your creativity falls into these dimensions
  - Make a special effort to spell out what this means in your case (so your colleagues understand and appreciate your approach)



### **And More to Consider**

- Learn the culture of the institution and your department
- Establish connections within department
- Find out spoken and unspoken expectations of junior faculty
- Become familiar with the tenure process



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- Mentorship is critical
  - Identify mentors
- Set mutual goals and evaluate annually
- Know where to publish in your field
- Get to know the key players in your field
- Ensure good teaching and evaluations
  - Start building your teaching portfolio now
  - Make use of teaching resources on campus
    - Teaching and Learning Transformation Center (TLTC) <a href="http://tltc.umd.edu/">http://tltc.umd.edu/</a>
- Choose service commitments wisely



- Know why you were hired
- Ensure faculty understand your research
- Obtain annual reviews and understand their meaning
- Solicit honest input from your mentor and faculty in your research area
- Be sure to stay in touch with your Chair



- Time management
  - Block off time to work and get things done
- Develop an independent research program
  - Balance of your own and team work
  - Be able to clearly articulate your contribution to teamwork
- Be proactive and take responsibility
  - Contact mentors/Chair for meetings
- If funded research is important in your field, request help in getting funding
  - Read proposals, introductions to program managers, campus resources



- Financial assistance
  - Graduate Research Board Awards, ADVANCE seed grants, Young Scholar Awards
- Departmental support
  - Reduced teaching and service loads
  - TAs/RAs
  - Funding for conferences
- Tenure delay policies
  - Automatic for childbirth/adoption
  - Requests for personal/professional reasons



- Personal statement about accomplishments
  - Future trajectory
- Complete C.V.
- Choices for reviewers
- Teaching portfolio with evaluations, syllabi, teaching philosophy, course development
  - TLTC is very useful for this
  - Check their website for joint TLTC-OFA workshops
    - http://tltc.umd.edu/



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